



The Poincare Polynomial of an Arrangement with the Trio Separation Property

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Abstract

An arrangement of hyperplanes with a modular element in its intersection lattice has a Poincaré polynomial which factors; this was proven by Stanley in the setting of geometric lattices. This note proves a factorization in the setting of hyperplane arrangements under two conditions which imply a modular element. Two well known reflection arrangements serve as motivation and their Poincaré polynomials are computed using the main theorem of this note.

Background and Notation

Definition 1.1. Let \mathbb{F} be a field. A hyperplane is an affine subspace of codimension one in \mathbb{F}^ℓ . A hyperplane arrangement in \mathbb{F}^ℓ is a finite collection of hyperplanes in \mathbb{F}^ℓ , written $\mathcal{A} = \{H_1, \dots, H_n\}$. The cardinality of \mathcal{A} is n and is denoted $|\mathcal{A}|$.

Denition 1.2. Let \mathcal{A} be an arrangement of hyperplanes in $V = \mathbb{F}^\ell$. We define the partially ordered set $L(\mathcal{A})$ with objects given by $\bigcap_{H \in B} H$ for $B \subseteq \mathcal{A}$ and $\bigcap_{H \in B} H \neq \emptyset$; order the objects of $L(\mathcal{A})$ opposite to inclusion. Notice $\emptyset \subseteq \mathcal{A}$ gives $V \in L(\mathcal{A})$ with $V \leq X$ for all $X \in L(\mathcal{A})$. For $X \in L(\mathcal{A})$, We define $\text{rank}(X) := \text{codim } X$. We define $\text{rank}(\mathcal{A}) := \max_{X \in L(\mathcal{A})} \text{rank}(X)$.

Definition 1.3. Let \mathcal{A} be an arrangement. If $B \subseteq \mathcal{A}$ is a sub-set, then B is called a subarrangement. For $X \in L(\mathcal{A})$ we define a subarrangement \mathcal{A}_X of \mathcal{A} by $\mathcal{A}_X := \{H \in \mathcal{A} : X \subset H\}$. Define an arrangement \mathcal{A}^X in X via $\mathcal{A}^X = \{X \cap H : H \in \mathcal{A} \setminus \mathcal{A}_X \text{ and } X \cap H \neq \emptyset\}$.

Definition 1.4. Let $\mathcal{A} = \{H_1, \dots, H_n\}$ be a hyperplane arrangement in $V = \mathbb{F}^\ell$ for some field \mathbb{F} . We fix an order on \mathcal{A} ; that is, for hyperplanes H_i and H_j in \mathcal{A} , we have $H_i < H_j$ if and only if $i < j$.

Let \mathbb{K} be a commutative ring. Let E_1 be the linear space over \mathbb{K} on n generators. Let $E(\mathcal{A}) := \Lambda(E_1)$ be the exterior algebra on E_1 . We have $E(\mathcal{A}) = \bigoplus_{p \geq 0} E_p$ is a graded algebra over \mathbb{K} . The standard \mathbb{K} -basis for E_p is given by

$$\{e_{i_1} \dots e_{i_p} : 1 \leq i_1 < \dots < i_p \leq p\}.$$

Any ordered subset $S = \{H_{i_1}, \dots, H_{i_p}\}$ of \mathcal{A} corresponds to an element $e_S := e_{i_1} \dots e_{i_p}$ in $E(\mathcal{A})$.

Definition 1.5. We define the map $\partial : E(\mathcal{A}) \rightarrow E(\mathcal{A})$ via the usual differential. That is,

$$\partial(1) := 0,$$

$$\partial(e_i) := 1, \text{ and for } p \geq 2,$$

$$\partial(e_{i_1} \dots e_{i_p}) := \sum_{k=1}^p (-1)^{k-1} e_{i_1} \dots \widehat{e}_{i_k} \dots e_{i_p}$$

Definition 1.6. We define $I(\mathcal{A})$ to be the ideal of $E(\mathcal{A})$ which is generated by

$$\{\partial(e_S) : S \text{ is dependent}\} \cup \{e_S : \cap S = \emptyset\}.$$

Definition 1.7. The Orlik-Solomon algebra, $A(\mathcal{A})$, is defined as $A(\mathcal{A}) := E(\mathcal{A})/I(\mathcal{A})$.

Let $\pi : E(\mathcal{A}) \rightarrow A(\mathcal{A})$ be the canonical projection. We write a_S to represent the image of e_S under π .

We define the Orlik-Solomon algebra and a linear basis for this algebra, referred to as the broken circuit basis; see Chapter 3 in [3].

Let $\mathcal{A} = \{H_1, \dots, H_n\}$ be a hyperplane arrangement in $V = \mathbb{F}^\ell$ for some field \mathbb{F} . For each $H_i \in \mathcal{A}$, we fix an affine functional α_i with $\text{Ker } \alpha_i = H_i$. We fix an order on \mathcal{A} ; that is, for hyperplanes H_i and H_j in \mathcal{A} , we have $H_i < H_j$ if and only if $i < j$. Let $I(\mathcal{A})$ be the ideal of $E(\mathcal{A})$ as defined previously, and let $A(\mathcal{A}) := E(\mathcal{A})/I(\mathcal{A})$ be the Orlik-Solomon algebra. Let $\pi : E(\mathcal{A}) \rightarrow A(\mathcal{A})$ be the canonical projection. We write a_S to represent the image of e_S under π .

We demonstrate that $A(\mathcal{A})$ is a free graded \mathbb{K} -module by defining the broken circuit basis for $A(\mathcal{A})$. By Theorem 1.9 to follow, this is indeed a basis for $A(\mathcal{A})$.

Definition 1.8. Let $S = \{H_{i_1}, \dots, H_{i_p}\}$ be an ordered subset of \mathcal{A} with $i_1 < \dots < i_p$. We say a_S is basic in $A_p(\mathcal{A})$ if

1. S is independent, and
2. For any $1 \leq k \leq p$, there does not exist a hyperplane $H \in \mathcal{A}$ so that $H < H_{i_k}$ with $\{H, H_{i_k}, H_{i_{k+1}}, \dots, H_{i_p}\}$ dependent.

The set of $\{a_s\}$ with S as above form the broken circuit basis for $A(\mathcal{A})$, whose name is justified by the following theorem.

Theorem 1.9. As a \mathbf{K} -module, $A(\mathcal{A})$ is a free, graded module. The broken circuit basis forms a basis for $A(\mathcal{A})$.

Proof. This is proven in Theorem 3.55 in [3].

Example 1.10. Let $\dim V = \ell$, and let \mathcal{A} be the braid arrangement in V given by

$$Q(\mathcal{A}) = \prod_{1 \leq i < j \leq \ell} (x_i - x_j).$$

Let H_{ij} correspond to the hyperplane given by $x_i - x_j = 0$. Order the hyperplanes lexicographically; that is, $H_{ij} < H_{mn}$ if either $i < m$ or $i = m$ and $j < n$. We will write $a_{H_{ij}} = a_{ij}$ in $A_1(\mathcal{A})$.

In order to compute $\dim A_p(\mathcal{A})$, we need to describe the elements of the broken circuit basis in $A_p(\mathcal{A})$. Let $a = a_{i_1 j_1} a_{i_2 j_2} \dots a_{i_p j_p}$ be an element of the broken circuit basis in $A_p(\mathcal{A})$. By definition of the hyperplanes, we have $i_k < j_k$.

We first verify all the second indices of a are distinct. Suppose $j_1 = j_2$. Without loss of generality, we may assume $i_1 < i_2$. Then $\{H_{i_1 j_1}, H_{i_2 j_2}, H_{j_1 j_2}\}$ is dependent with $H_{i_1 j_1}$ being minimal in the set; this contradicts the assumption a is in the broken circuit basis. In a similar fashion, we have and will assume $j_1 < j_2 < \dots < j_p$.

We now verify the first indices have no restriction other than $i_k < j_k$. Suppose $i_1 = i_2$, then $\{H_{i_1 j_1}, H_{i_2 j_2}, H_{j_1 j_2}\}$ is dependent; but the minimal element of this set is $H_{i_1 j_1}$. Notice $H_{i_1 j_1}, H_{i_2 j_2}, H_{j_1 j_2}$ is not basic as there are two of the second indices equal and this situation was eliminated. Therefore, a is still an element of the broken circuit basis as it does not contain the factor $a_{j_1 j_2}$. Hence, there are no restrictions on i_k other than $j_k > i_k$.

It is now just a matter of counting the possibilities we have for $\{i_1 j_1, \dots, i_p j_p\}$ with the restrictions $j_1 < j_2 < \dots < j_p$ and $i_k < j_k$ for $k = 1, \dots, p$.

Fix j_1, \dots, j_p . There are $\ell - j_k$ choices for i_k for each $k = 1, \dots, p$. Thus,

$$\begin{aligned} \dim A_p(\mathcal{A}) &= \sum_{i_p=1+\ell_p-1}^{\ell-1} \dots \sum_{i_2=1+i_1}^{\ell-p+1} \sum_{i_1=1}^{\ell-p} \left(\prod_{k=1}^p (\ell - j_k) \right) \\ &= \sum_{1 \leq j_1 < j_2 < \dots < j_p \leq \ell-1} j_1 j_2 \dots j_p. \end{aligned}$$

As usual, if $p = 0$, then this sum is taken to be 1.

The dimension of $A_1(\mathcal{A})$ and $A_2(\mathcal{A})$ can be easily simplified. Obviously, we have $\dim A_1(\mathcal{A}) = \binom{\ell}{2}$. For the dimension of $A_2(\mathcal{A})$, consider minimally dependent sets of three hyperplanes. Any such set must be of the form $\{H_{ij}, H_{ik}, H_{jk} : i < j < k\}$. There are $\binom{\ell}{3}$ of these sets. Hence, $A_2(\mathcal{A}) = \dim E_2 - \binom{\ell}{3}$. Using the fact, $n = \binom{\ell}{2}$ we arrive at $\dim A_2(\mathcal{A}) = \frac{\ell(\ell-1)(\ell-2)(3\ell-1)}{24}$.

Definition 1.11. Let \mathcal{A} be an arrangement. Let $H_0 \in \mathcal{A}$. We define the arrangements given by deletion and restriction

$$\mathcal{A}' = \{H : H \in \mathcal{A} \setminus H_0\} \text{ and}$$

$$\mathcal{A}'' = \{H_0 \cap H : H \in \mathcal{A} \text{ and } H \cap H_0 \neq \emptyset\}.$$

Definition 1.12. Let $\pi(A(\mathcal{A}), t)$ be the Poincaré polynomial of the free graded \mathbf{K} -module $A(\mathcal{A})$; that is, $\pi(A(\mathcal{A}), t) = \sum_{p=0}^{\ell} \text{rank}(A_p(\mathcal{A})) t^p$.

Theorem 1.13. Let $\mathcal{A}, \mathcal{A}', \mathcal{A}''$ be a triple given by deletion and restriction. Then $\pi(A(\mathcal{A}), t) = \pi(A(\mathcal{A}'), t) + t\pi(A(\mathcal{A}''), t)$.

Proof. This is Corollary 3.67 in [3].

We end this section by furnishing two additional definitions which are needed in the subsequent section.

Definition 1.14. An element $X \in L(\mathcal{A})$ is said to be modular if for any $Y \in L(\mathcal{A})$ and any $Z \in L(\mathcal{A})$ with $Z \leq Y$, we have

$$Z \vee (X \wedge Y) = (Z \vee X) \wedge Y.$$

Definition 1.15. Let \mathcal{A} be an arrangement. We say \mathcal{A} is supersolvable if $L(\mathcal{A})$ has a maximal chain of modular elements

$$V = X_0 < X_1 < \dots < X_{\ell} = \bigcap_{H \in \mathcal{A}} H, \text{ while } \text{rank}(\mathcal{A}) = \ell.$$

2. Main Theorem

Factorization of the Poincaré polynomial has been studied extensively. Stanley showed that supersolvable arrangements have Poincaré polynomials that factor into linear factors [4]. A generalization of supersolvable arrangements gave a factorization into linear factors by looking at nice partitions [5]. Other generalizations of supersolvable arrangements are given in [1] and [2]. In this section, we show a factorization of the Poincaré polynomial when the arrangement has a special subarrangement which implies the existence of a modular element in $L(\mathcal{A})$.

Definition 2.1. Consider the following conditions on a nonempty subset $\mathcal{H} \subseteq \mathcal{A}$:

(A) for any $\{H_{i_1}, H_{i_2}\} \subseteq \mathcal{H}$, there exists a unique $K \in \mathcal{A}$ with $K \notin \mathcal{H}$ and K containing $H_{i_1} \cap H_{i_2}$ and

(B) For any $\{K_{q_1}, \dots, K_{q_m}\} \subseteq \mathcal{A} \setminus \mathcal{H}$, we have $\bigcap_{k=1}^m K_{q_k}$ is contained in no hyperplanes from \mathcal{H} .

If such \mathcal{H} exists in \mathcal{A} , we say \mathcal{A} has the trio separation property under \mathcal{H} .

In the above definition, $Z = \bigcap_{H \in \mathcal{H}} H$ is a modular element of $L(\mathcal{A})$. See Stanley [4]. However, let \mathcal{A} be the arrangement given by the hyperplanes $\{x, y, z, x + y - z\}$ with \mathcal{H} given by $\{z\}$. Then the hyperplane given by $\{z = 0\}$ is a modular element but does not satisfy condition (B). Hence, modularity of $Z = \bigcap_{H \in \mathcal{H}} H$ does not imply that conditions (A) and (B) are satisfied.

Theorem 2.2. Suppose $\mathcal{H} \subset \mathcal{A}$ satisfies condition (A). There exists an ordering of the hyperplanes so that the broken circuit basis contains no elements $a_{\bar{v}}$ where \bar{v} contains two indices corresponding to hyperplanes in \mathcal{H} .

Proof. Order the hyperplanes so that for any $H_i \in \mathcal{H}$ and any $H_k \in \mathcal{A} \setminus \mathcal{H}$, we have $i > k$. Let $a_{\bar{v}}$ be a basic element of $A(\mathcal{A})$ and suppose \bar{v} contains two indices corresponding to hyperplanes in \mathcal{H} , say H_{α} and H_{β} . Since \mathcal{H} satisfies condition (A), there exists $H_{\gamma} \in \mathcal{A} \setminus \mathcal{H}$ with $H_{\alpha} \cap H_{\beta} \subset H_{\gamma}$. By our choice of ordering, $\gamma < \alpha, \beta$ and hence $a_{\bar{v}}$ is not basic.

Suppose $\mathcal{H} \subseteq \mathcal{A}$ satisfies condition (A). Let $X \in L(\mathcal{A})$ have rank greater than or equal to 2. Since \mathcal{H} satisfies condition (A), we must have some hyperplanes containing X that are in $\mathcal{A} \setminus \mathcal{H}$. Let $X' \in L(\mathcal{A})$ represent the intersection of the hyperplanes containing X that are in $\mathcal{A} \setminus \mathcal{H}$.

Lemma 2.3. Suppose \mathcal{A} has the trio separation property under \mathcal{H} . Let $X \in L(\mathcal{A})$ have rank greater than or equal to 2. Fix $H_0 \in \mathcal{H}$. Then $X' = (X \cap H_0)'$. Moreover, if $(X \cap H_0)' = (Y \cap H_0)'$ for any $X, Y \in L(\mathcal{A}) \setminus \{V, H_0\}$, then $X \cap H_0 = Y \cap H_0$.

Proof. Let $X \in L(\mathcal{A})$ have rank greater than or equal to 2. It is obvious that $X' \subseteq (X \cap H_0)'$. Suppose there is a hyperplane $H \in \mathcal{H}$ containing X . By condition (A), $(X \cap H_0)$ is a hyperplane and X' must contain at least one hyperplane, so $(X \cap H_0) = X'$. Suppose all hyperplanes containing X are in $\mathcal{A} \setminus \mathcal{H}$. Then $(X \cap H_0)$ is precisely X' by condition (B).

Suppose $(X \cap H_0)' = (Y \cap H_0)'$ for some $X, Y \in L(\mathcal{A}) \setminus \{V, H_0\}$. Suppose there exists $H \in \mathcal{H} \setminus \{H_0\}$ with H containing $X \cap H_0$. They by (A), $(H \cap H_0)'$ is a hyperplane containing $H \cap H_0$; hence, H contains $(Y \cap H_0)' \cap H_0$ which contains $Y \cap H_0$.

Lemma 2.4. Suppose \mathcal{A} has the trio separation property under \mathcal{H} . Fix $H_0 \in \mathcal{H}$. Then $L(\mathcal{A}^{H_0}) \cong L(\mathcal{A} \setminus \mathcal{H})$.

Proof. Let $\Phi: L(\mathcal{A}^{H_0}) \rightarrow L(\mathcal{A} \setminus \mathcal{H})$ via $\Phi(X \cap H_0) = (X \cap H_0)'$ and $\Phi(H_0) = V$. To verify Φ is injective, suppose $(X \cap H_0)' = (Y \cap H_0)'$ for some $X, Y \in L(\mathcal{A}) \setminus \{V, H_0\}$. By Lemma 2.3, $X \cap H_0 = Y \cap H_0$.

To verify Φ is surjective, suppose $X \in L(\mathcal{A} \setminus \mathcal{H})$. Then $\Phi(X \cap H_0) = (X \cap H_0)' = X' = X$.

Furthermore, it is obvious that Φ is order preserving on the lattices. We are now ready to state and prove the following:

Theorem 2.5. Suppose \mathcal{A} has the trio separation property under \mathcal{H} . The Poincaré polynomial of \mathcal{A} is computed via

$$\pi(A(\mathcal{A}), t) = (1 + |\mathcal{H}| \cdot t) \pi(A(\mathcal{A} \setminus \mathcal{H}), t).$$

Proof. We begin by applying Theorem 1.13 repeatedly to $\mathcal{H} = \{H_1, \dots, H_m\}$. It follows that

$$\pi(A(\mathcal{A}), t) = \pi(A(\mathcal{A} \setminus \mathcal{H}), t) + \sum_{i=1}^m t \pi(A(\mathcal{A} \setminus \{H_1, \dots, H_{i-1}\})^{H_i}, t).$$

By Lemma 2.4,

$$\begin{aligned} \pi(A(\mathcal{A}), t) &= \pi(A(\mathcal{A} \setminus \mathcal{H}), t) + mt \pi(A(\mathcal{A} \setminus \mathcal{H}), t) \\ &= (1 + |\mathcal{H}| \cdot t) \pi(A(\mathcal{A} \setminus \mathcal{H}), t). \end{aligned}$$

Hence, we have computed the Poincaré polynomial of $A(\mathcal{A})$ in terms of the Poincaré polynomial of $A(\mathcal{A} \setminus \mathcal{H})$.

3. Examples

Definition 3.1. Let \mathcal{A}_ℓ be the braid arrangement dened by

$$Q(\mathcal{A}_\ell) = \prod_{1 \leq i < j \leq \ell} (x_i - x_j).$$

Lemma 3.2. Let \mathcal{A}_ℓ denote the braid arrangement. Let $H_{i,j}$ be the hyperplane determined by $x_i - x_j$ for $1 \leq i < j \leq \ell$. Then for any $2 \leq \beta \leq \ell$, we have:

$$(\mathcal{A}_\ell \setminus \{H_{1,\ell}, \dots, H_{\beta,\ell}\})^{H_{\beta+1,\ell}} \cong \mathcal{A}_{\ell-1}.$$

Proof. Let $\mathcal{H} = \{H_{1,\ell}, \dots, H_{\beta,\ell}\}$. Then \mathcal{H} satisfies conditions (A) and (B). By Lemma 2.4, the result is immediate.

Theorem 3.3. Let \mathcal{A}_ℓ denote the braid arrangement. Then

$$\pi(\mathcal{A}_\ell) = (1 + (\ell - 1) \cdot t) \pi(\mathcal{A}_{\ell-1}).$$

Proof. Let $\mathcal{H} = \{H_{1,\ell}, \dots, H_{\beta,\ell+1}\}$. Then \mathcal{A} has the trio separation property under \mathcal{H} . By Theorem 2.5 and Lemma 3.2, the result is immediate.

Definition 3.4. Let V be an ℓ -dimensional vector space over the finite field of q elements, \mathbb{F}_q . Let \mathcal{A}_ℓ be the central arrangement of all hyperplanes through the origin.

Lemma 3.5. Let \mathcal{A}_ℓ denote the arrangement defined in Definition 3.4. Let $\vec{c} = \{c_1, \dots, c_{\ell-1}\}$ for $c_i \in \mathbb{F}_q$. Denote $H_{\vec{c},\ell}$ by the hyperplane determined by $x_\ell + \sum_{1 \leq i \leq \ell-1} c_i x_i$. Let \mathcal{H} be the collection of hyperplanes $H_{\vec{c},\ell}$. For any $U \subset \mathcal{H}$ with $H_{\vec{c},\ell} \notin U$, we have

$$(\mathcal{A}_\ell \setminus U)^{H_{\vec{c},\ell}} \cong \mathcal{A}_{\ell-1}.$$

Proof. Since \mathcal{A} has the trio separation property under \mathcal{H} , the result is immediate by Lemma 2.4.

Theorem 3.6. Let \mathcal{A}_ℓ denote the arrangement of Definition 3.4. Then

$$\pi(\mathcal{A}_\ell) = (1 + q^{\ell-1} \cdot t) \pi(\mathcal{A}_{\ell-1}).$$

Proof. Take \mathcal{H} to be the collection of hyperplanes $H_{\vec{c},\ell}$ as dened in Lemma 3.5. By Theorem 2.5 and Lemma 3.5, the result is immediate.

Competing interest: The authors declare that they have no competing interests.

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